

Contents and Guidance

Contents	Description
Page 1	<p>Record of beneficial owners - individuals: The resident agent of a legal person formed in Guernsey must keep a record of the required particulars of the beneficial owners of that legal person. The Beneficial Ownership of Legal Persons (Guernsey) Law, 2017 refers to this as the "record of beneficial owners".</p> <p>Generally the beneficial owners will be individuals, in which case this page should be completed. If the only beneficial owners are individuals, and there are no nominees acting for any beneficial owner, you can just write "none" on pages 2 to 6.</p>
Page 2	<p>Record of beneficial owners - non-individuals: In some circumstances a non-individual may be a beneficial owner. The Beneficial Ownership (Definition) Regulations, 2017 states that this applies when the legal person is controlled through ownership by a listed company, a States trading company or another relevant legal person. Those Regulations define a listed company and a States trading company. The Beneficial Ownership of Legal Persons (Guernsey) Law, 2017 defines at section 41(1) a relevant legal person, which broadly means a legal person formed in Guernsey. If a beneficial owner is in any of these three categories, please complete their details on this page.</p> <p>Unless the legal person has nominee(s) acting for beneficial owner(s), you can just write "none" on pages 3 to 6.</p>
Page 3	<p>Record of nominee relationships - individual nominees: A legal person's record of beneficial owners must include details of any nominees. A nominee is a person who is registered as the legal owner of a share or interest in the legal person but holds or exercises it on behalf of a beneficial owner of the legal person (see Regulation 7 of The Beneficial Ownership of Legal Persons (Nominee Relationships) Regulations, 2017).</p> <p>If a nominee is an individual, enter their details on this page and those of the "nominator" for whom they are acting on page 5 or 6 as applicable. Use the "nominee relationship number" columns on pages 3 to 6 to identify which nominee relates to which nominator.</p>
Page 4	<p>Record of nominee relationships - non-individual nominees: Enter the details of any non-individual nominee on this page and of the related nominator on page 5 or 6 as applicable.</p>
Page 5	<p>Record of nominee relationships - individual nominators: Enter the details of any nominator who is an individual on this page.</p>
Page 6	<p>Record of nominee relationships - non-individual nominators: Enter the details of any nominator which is not an individual on this page.</p>

Page 1: Record of beneficial owners - individuals

Entity name:

Entity number:

Pages 1 to 6 contain the required particulars of the beneficial owners of the above named legal person and of any nominees in accordance with section 10 of the Beneficial Ownership of Legal Persons (Guernsey) Law, 2017 as applied by Schedule 2 to The Beneficial Ownership of Legal Persons (Nominee

Full name	Interest held (e.g. share-holding)	Is this beneficial owner a resident agent?	Date of start of relationship	Date of end of relationship	Nationality (state all if more than one)	Date of birth	Principal residential address	Grounds on which person is a beneficial owner

For further information on beneficial ownership, please see our website for guidance: www.guernseyregistry.com/beneficialownership

Page 2: Record of beneficial owners - non-individuals (see * below)

Entity name:

Entity number:

* A non-individual can only be a beneficial owner if it is a "corporate beneficial owner" under Regulation 1 of The Beneficial Ownership (Definition) Regulations, 2017

Full name	Interest held (e.g. share-holding)	Is this beneficial owner a resident agent?	Date of start of relationship	Date of end of relationship	Jurisdiction of incorporation or registration	Date of incorporation or registration	Registration number	Registered office address	Grounds on which person is a beneficial owner

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Page 3: Record of nominee relationships - individual nominees

Entity name:

Entity number:

Enter on pages 3 and 4 below any nominees acting for any beneficial owner above or for anyone else. If none please state "none" in the first row below.

Where there is a nominee, please give their details as an individual on this page or non-individual on page 4. If a nominee is a Guernsey licensed fiduciary, there is no need to complete "Nationality" onwards. Please complete details of each related nominator on pages 5 - 6 as applicable, using the same "Nominee relationship number".

Nominee relationship number	Full name	Interest held as nominee (e.g. shareholding)	Is this nominee a resident agent?	Date of start of nominee relationship	Date of end of nominee relationship	Nationality (state all if more than one)	Date of birth	Principal residential address

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Page 4: Record of nominee relationships - non-individual nominees

Entity name:

Entity number:

The notes on page 3 also apply to this page, which is for nominees who are not individuals. If a nominee is a Guernsey licensed fiduciary or a "Specified Nominee" there is no need to complete "Entity type" onwards. Specified Nominees are defined in Schedule 1 to The Beneficial Ownership of Legal Persons (Nominee Relationships) Regulations, 2017 as being Euroclear UK & Ireland Limited and Clearstream only.

Nominee relationship number	Full name	Interest held as nominee (e.g. share-holding)	Is this nominee a resident agent?	Date of start of nominee relationship	Date of end of relationship	Entity type e.g. company, foundation, partnership, limited partnership	Jurisdiction of incorporation or registration	Date of incorporation or registration	Registration number	Registered office address

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Page 5: Record of nominee relationships - individual nominators

Entity name:

Entity number:

For any nominees on pages 3 or 4, enter the nominators for whom they are acting, using this page for individual nominators and Page 6 for non-individual nominators. If none please state "none" in the first row below.

If a nominator is a Guernsey licensed fiduciary or a "Specified Nominee" (see Page 4) there is no need to complete "Nationality" onwards.

Nominee relationship number	Full name	Interest held for this nominator (e.g. share-holding)	Is this beneficial owner a resident agent?	Date of start of relationship	Date of end of relationship	If nominator is in BO tables on p1-2, enter "BO" below; if not what is relationship	Nationality (state all if more than one)	Date of birth	Principal residential address

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Page 6: Record of nominee relationships - non-individual nominators

Entity name:

Entity number:

For any nominees on pages 3 or 4, enter below the nominators for whom they are acting, if they are non-individuals. If none please state "none" in the first row below. If a nominator is a Guernsey licensed fiduciary or a "Specified Nominee" (see Page 4) there is no need to complete "Entity type" onwards.

Nominee relationship number	Full name	Interest held for this nominator (e.g. shareholding)	Is this beneficial owner a resident agent?	Date of start of relationship	Date of end of relationship	If nominator is in BO tables on p1-2, enter "BO" below; if not what is relationship	Entity type e.g. company, foundation, partnership, limited partnership	Jurisdiction of incorporation or registration	Date of incorporation or registration	Registration number	Registered office address

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