

Application To Become A Recognised Auditor

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Email: enquiries@guernseyregistry.com

For more information, please contact the Guernsey Registry

Firm Details

Name of Applicant

Recognised accountancy
of which applicant is a
member

Firm Number (If known)

Legal form of applicant

Principal Office Details - *from which market traded companies will be audited.* *(Office to which the Registrar will send all communications)*

Address

Post Code

Phone

Other address from which
market traded companies
may be audited

Post Code

Phone

Trading Names

N.B. Trading names are when trading occurs under more than one name but with the same owners. This does not include instances where, for example, the partnership structure is different to that of the firm applying to become a recognized auditor.

Trading Name (If Applicable)

Do you intend to use the trading name when signing audit reports?

Yes

No

N/A

Audit Compliance Principal

Name

Address (if different from the principle office)

Post Code

Phone

Email

Principals

Responsible individuals (RIs) - these are the individuals who can undertake audit work and sign audit reports of market traded companies on behalf of the applicant. Only principals and employees who are members of a recognized accountancy body are eligible to apply to become responsible individuals, not consultants or sub-contractors.

Please ensure that a fully completed and signed 'Notification of the Appointment of a Responsible Individual' form' is included in the application for each person proposed as a responsible individual.

Principals Resident in Guernsey

Name	Date Of Birth	Responsible Individual (Y/N)	Practicing Certificate Held (Y/N)	Membership Body	Membership Number	Office Location

Principals and employees (Wherever Resident)

Name	Date Of Birth	Office Location	Responsible Individual (Y/N)	Practicing Certificate Held (Y/N)	Membership Body	Membership Number

Market Traded Audit Clients

Companies under common control should be treated as a single client for purposes of this section.

Name of Market Traded Company (MTC)	Name of Regulated Market on which the securities are listed	Principal Activity of the Market Traded Company	Approximate Turnover of the Market Traded Company

Declaration

- A) I certify that, to the best of my knowledge and belief, the information in, or provided with, this application is a true and accurate statement of the applicant's circumstances.
- B) I confirm that:
- The control of this firm complies with the requirements of the Companies Law;
 - I have taken steps to ensure that all principals and employees involved in audit work are competent to undertake audit work;
 - The firm will comply with the Rules at all times;
 - The firm agrees to pay the costs of oversight and such fees, including any costs incurred by any person or body exercising a regulatory or supervisory role, as are necessary for the continued entry of the applicant on the register, at the times and rates as determined from time to time.

It is a criminal offence to make a false or misleading statement in this application form. You may be liable for prosecution if the information you provide in this form is false or misleading, if convicted you could be subject to a fine of up to £10,000 or imprisonment for up to 2 years.

Name

Signature

Date

Completion checklist

Cheque made payable to the 'States of Guernsey' or 'Guernsey Registry' as payment of the registration fee

'Notification of the Appointment of a Responsible Individual Forms' for RIs listed on application included