

Notification Of The Appointment Of A Responsible Individual

For more information, please contact the Guernsey Registry

Tel: +44 (0) 1481 743800

Fax: +44 (0) 1481 743801

Email: enquiries@guernseyregistry.com

This form is for a firm of recognised auditors to notify the Registrar of the appointment of a new responsible individual (RI).

Firm Details

Name

ICAEW No (Or equivalent)

Principle Office of R.I.

Post Code

Responsible Individual's details

Person Name

Body of which individual is a member

Member Number (If Known)

Date of birth

Individual Type

Principle

Employee

Does this Individual hold a practicing certificate?

Yes

No

Qualification granted by

Declaration

I certify that, to the best of my knowledge and belief, the information in or provided with this application is a true and accurate statement of my circumstances.

I confirm that I am a member of a recognised accountancy body and that I am permitted to engage in public practice and I understand and agree to be bound by the Rules when auditing the accounts of market traded companies.

I authorise any persons, institutions or bodies that the Registrar may approach to provide such information, as the Registrar believes may be relevant to its continuing exercise of its functions under the Companies Law.

I also authorise the Department to disclose to any persons, institutions or bodies appointed by the Department or the Registrar to perform the oversight and monitoring functions under the Companies Law, any information that the Registrar believes may be relevant to the oversight and monitoring function.

Name of individual
appointed as RI.

Signature

Date

Audit Compliance Principal

The rules require that auditors are competent to carry out audit work. A firm appointing an individual as an RI must be satisfied, and

Name of ACP

Signature

Date